

General information about company	
Scrip code	512299
NSE Symbol	STERLINBIO
MSEI Symbol	
ISIN	INE324C01038
Name of the entity	STERLING BIOTECH LIMITED
Date of start of financial year	01-04-2017
Date of end of financial year	31-03-2018
Reporting Quarter	Yearly
Date of Report	31-03-2018
Risk management committee	Not Applicable

Annexure I	
Annexure I to be submitted by listed entity on quarterly basis	
I. Composition of Board of Directors	
Disclosure of notes on composition of board of directors explanatory	Textual Information(1)
Is there any change in information of board of directors compare to previous quarter	No

Text Block	
Textual Information(1)	<p>Mr. Vilas Dattatray Joshi Independent Director (Resigned w.e.f. 28-09-2017as per Master Data reflected on MCA Website)</p> <p>This information pertains to number of Directorship and membership/chairmanship held in Sterling Biotech Limited only.</p>

Annexure 1	
II. Composition of Committees	
Disclosure of notes on composition of committees explanatory	Textual Information(1)
Is there any change in information of committees compare to previous quarter	No

Annexure 1 Text Block	
Textual Information(1)	Mr. Vilas Dattatray Joshi Independent Director (Resigned w.e.f. 28-09-2017as per Master Data reflected on MCA Website).

Audit Committee Details					
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Remarks

Nomination and remuneration committee					
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Remarks

Stakeholders Relationship Committee					
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Remarks

Risk Management Committee					
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Remarks

Corporate Social Responsibility Committee					
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Remarks

Other Committee						
Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks

Annexure 1	
Annexure 1	
III. Meeting of Board of Directors	
Disclosure of notes on meeting of board of directors explanatory	Textual Information(1)

Text Block	
Textual Information(1)	<p>Search and Seizure operations of the company was conducted by Directorate of Enforcement on 5th August 2017 and due to some unforeseen circumstances, although the notice of the 31st AGM which was scheduled to be held on 30th September 2017 was duly sent, the AGM could not be held on the scheduled date and therefore Statutory Auditors' appointment for the Financial year 2017-18 could not be completed.</p> <p>The unforeseen Circumstances continued during the quarter and due to the same unforeseen circumstances, no meeting of the Board of Directors and Committees was held after 28th August, 2017.</p>

Annexure 1	
IV. Meeting of Committees	
Disclosure of notes on meeting of committees explanatory	Textual Information(1)

Text Block	
Textual Information(1)	<p>Search and Seizure operations of the company was conducted by Directorate of Enforcement on 5th August 2017 and due to some unforeseen circumstances, although the notice of the 31st AGM which was scheduled to be held on 30th September 2017 was duly sent, the AGM could not be held on the scheduled date and therefore Statutory Auditors' appointment for the Financial year 2017-18 could not be completed.</p> <p>The unforeseen Circumstances continued during the quarter and due to the same unforeseen circumstances, no meeting of the Board of Directors and Committees was held after 28th August, 2017.</p>

Annexure 1

V. Related Party Transactions

Sr	Subject	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	NA	
2	Whether shareholder approval obtained for material RPT	NA	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	NA	

Annexure 1		
VI. Affirmations		
Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	No
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	No
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	No
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	No
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 100 listed entities)	NA
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	No
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	No
9	Any comments/observations/advice of Board of Directors may be mentioned here:	Textual Information(1)

Annexure 1		
Sr	Subject	Compliance status
1	Name of signatory	Rajbhusan Dixit With Authorisation of Sundaresh Bhat Resolution Professional
2	Designation	Director

Text Block	
Textual Information(1)	<p>As already informed to stock Exchanges, Company is unable to comply, with the SEBI Regulations within the prescribed time due to following reason:</p> <p>Search and Seizure operations of the company was conducted by Directorate of Enforcement on 5th August 2017 and due to some unforeseen circumstances, although the notice of the 31st AGM which was scheduled to be held on 30th September 2017 was duly sent, the AGM could not be held on the scheduled date and therefore Statutory Auditors' appointment for the Financial year 2017-18 could not be completed.</p> <p>Mr. Vilas Dattatray Joshi Independent Director (Resigned w.e.f. 28-09-2017as per Master Data reflected on MCA Website)</p>

Annexure II				
Annexure II to be submitted by listed entity at the end of the financial year (for the whole of financial year)				
I. Disclosure on website in terms of Listing Regulations				
Sr	Item	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.	Web address
1	Details of business	Yes		http://www.sterlingbiotech.in
2	Terms and conditions of appointment of independent directors	Yes		http://www.sterlingbiotech.in
3	Composition of various committees of board of directors	Yes		http://www.sterlingbiotech.in
4	Code of conduct of board of directors and senior management personnel	Yes		http://www.sterlingbiotech.in
5	Details of establishment of vigil mechanism/ Whistle Blower policy	Yes		http://www.sterlingbiotech.in
6	Criteria of making payments to non-executive directors	Yes		http://www.sterlingbiotech.in
7	Policy on dealing with related party transactions	Yes		http://www.sterlingbiotech.in
8	Policy for determining 'material' subsidiaries	Yes		http://www.sterlingbiotech.in
9	Details of familiarization programmes imparted to independent directors	Yes		http://www.sterlingbiotech.in

Annexure II				
Annexure II to be submitted by listed entity at the end of the financial year (for the whole of financial year)				
I. Disclosure on website in terms of Listing Regulations				
Sr	Item	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.	Web address
10	Contact information of the designated officials of the listed entity who are responsible for assisting and handling investor grievances	Yes		http://www.sterlingbiotech.in
11	email address for grievance redressal and other relevant details	Yes		http://www.sterlingbiotech.in
12	Financial results	No	no meeting of the Board of Directors and Committees was held after 28th August, 2017.	
13	Shareholding pattern	No	Updation of Website is Pending	
14	Details of agreements entered into with the media companies and/or their associates	NA		
15	New name and the old name of the listed entity	NA		
Disclosure of notes on website in terms of Listing Regulations explanatory [Text Block]				Textual Information(1)

Text Block	
Textual Information(1)	<p>As already informed to stock Exchanges, Company is unable to comply, with the SEBI Regulations within the prescribed time due to following reason:</p> <p>Search and Seizure operations of the company was conducted by Directorate of Enforcement on 5th August 2017 and due to some unforeseen circumstances, although the notice of the 31st AGM which was scheduled to be held on 30th September 2017 was duly sent, the AGM could not be held on the scheduled date and therefore Statutory Auditors' appointment for the Financial year 2017-18 could not be completed.</p> <p>Mr. Vilas Dattatray Joshi Independent Director (Resigned w.e.f. 28-09-2017 as per Master Data reflected on MCA Website)</p> <p>No meeting of the Board of Directors and Committees was held after 28th August, 2017.</p>

Annexure II

II. Annual Affirmations

Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Independent director(s) have been appointed in terms of specified criteria of 'independence' and/or 'eligibility'	16(1)(b) & 25(6)	No	As Per Note
2	Board composition	17(1)	No	As Per Note
3	Meeting of Board of directors	17(2)	No	As Per Note
4	Review of Compliance Reports	17(3)	No	As Per Note
5	Plans for orderly succession for appointments	17(4)	No	As Per Note
6	Code of Conduct	17(5)	No	As Per Note
7	Fees/compensation	17(6)	No	As Per Note
8	Minimum Information	17(7)	No	As Per Note
9	Compliance Certificate	17(8)	No	As Per Note
10	Risk Assessment & Management	17(9)	No	As Per Note

Annexure II

II. Annual Affirmations

Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
11	Performance Evaluation of Independent Directors	17(10)	No	As Per Note
12	Composition of Audit Committee	18(1)	No	As Per Note
13	Meeting of Audit Committee	18(2)	No	As Per Note
14	Composition of nomination & remuneration committee	19(1) & (2)	No	As Per Note
15	Composition of Stakeholder Relationship Committee	20(1) & (2)	No	As Per Note
16	Composition and role of risk management committee	21(1),(2),(3),(4)	NA	
17	Vigil Mechanism	22	Yes	
18	Policy for related party Transaction	23(1),(5),(6),(7) & (8)	Yes	
19	Prior or Omnibus approval of Audit Committee for all related party transactions	23(2), (3)	NA	
20	Approval for material related party transactions	23(4)	NA	

Annexure II				
II. Annual Affirmations				
Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
21	Composition of Board of Directors of unlisted material Subsidiary	24(1)	No	As Per Note
22	Other Corporate Governance requirements with respect to subsidiary of listed entity	24(2), (3),(4),(5) & (6)	No	As Per Note
23	Maximum Directorship & Tenure	25(1) & (2)	No	As Per Note
24	Meeting of independent directors	25(3) & (4)	No	As Per Note
25	Familiarization of independent directors	25(7)	No	As Per Note
26	Memberships in Committees	26(1)	Yes	
27	Affirmation with compliance to code of conduct from members of Board of Directors and Senior management personnel	26(3)	No	As Per Note
28	Disclosure of Shareholding by Non-Executive Directors	26(4)	No	As Per Note
29	Policy with respect to Obligations of directors and senior management	26(2) & 26(5)	No	As Per Note
	Any other information to be provided		Textual Information(1)	

Text Block	
Textual Information(1)	<p>As already informed to stock Exchanges, Company is unable to comply, with the SEBI Regulations within the prescribed time due to following reason:</p> <p>Search and Seizure operations of the company was conducted by Directorate of Enforcement on 5th August 2017 and due to some unforeseen circumstances, although the notice of the 31st AGM which was scheduled to be held on 30th September 2017 was duly sent, the AGM could not be held on the scheduled date and therefore Statutory Auditors' appointment for the Financial year 2017-18 could not be completed.</p> <p>Mr. Vilas Dattatray Joshi Independent Director (Resigned w.e.f. 28-09-2017as per Master Data reflected on MCA Website)</p>

Annexure II		
1	Name of signatory	Rajbhusan Dixit With Authorisation of Sundaresh Bhat Resolution Professional
2	Designation	Director

Annexure II		
III. Affirmations		
Sr	Particulars	Compliance status (Yes/No/NA)
1	The Listed Entity has approved Material Subsidiary Policy and the Corporate Governance requirements with respect to subsidiary of Listed Entity have been complied	Yes
	Any other information to be provided	Textual Information(1)

Text Block	
Textual Information(1)	<p>As already informed to stock Exchanges, Company is unable to comply, with the SEBI Regulations within the prescribed time due to following reason:</p> <p>Search and Seizure operations of the company was conducted by Directorate of Enforcement on 5th August 2017 and due to some unforeseen circumstances, although the notice of the 31st AGM which was scheduled to be held on 30th September 2017 was duly sent, the AGM could not be held on the scheduled date and therefore Statutory Auditors' appointment for the Financial year 2017-18 could not be completed.</p> <p>Mr. Vilas Dattatray Joshi Independent Director (Resigned w.e.f. 28-09-2017as per Master Data reflected on MCA Website)</p>

Annexure II		
1	Name of signatory	Rajbhusan Dixit With Authorisation of Sundaresh Bhat Resolution Professional
2	Designation	Director

Signatory Details	
Name of signatory	Rajbhusan Dixit With Authorisation of Sundaresh Bhat Resolution Professional
Designation of person	Director
Place	Mumbai
Date	24-11-2018

